

09-28-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | | | |
|---|--|---|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. §1.53(b)) | | <i>Attorney Docket No.</i> | 98-859US1 |
| | | <i>First Inventor or Application Identifier</i> | Michael R Lindsay, et al. |
| | | <i>Title</i> | DUAL PORT RAM COMMUNICATION PROTOCOL |
| | | <i>Express Mail Label No.</i> | EL536119120US as deposited on: 9/27/01 |

| | | |
|---|--|--|
| APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents. | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231 |
|---|--|--|

| | | |
|---|--|---|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 32] (preferred arrangement set forth below) -Descriptive title of the Invention -Cross References to Related Applications -Statement Regarding Fed Sponsored R&D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claims(s) -Abstract of the Disclosure</p> <p><input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]</p> <p>Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63 (d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) Named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33 (b).</p> | | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
| ACCOMPANYING APPLICATION PARTS | | |
| <p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.37(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard MPEP 503)</p> <p>13. <input type="checkbox"/> Statement (s) <input type="checkbox"/> Statement filed in prior (PTO/SB/09-12) application, Status still proper and desired</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Other:</p> | | |

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY
SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS
REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a **CONTINUING APPLICATION**, Check appropriate box, and supply the requisite information below and in a preliminary amendment:
Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | | |
|--|--|---|----------------|----------|----------------|
| <input type="checkbox"/> Customer Number or Bar Code Label 24938 (Insert Customer No. or Attach bar code label here) | | <input type="checkbox"/> Correspondence address below | | | |
| Name | Ralph E. Smith DaimlerChrysler Intellectual Capital Corporation | | | | |
| Address | CIMS 483-02-19 800 Chrysler Drive | | | | |
| City | Auburn Hills | State | Michigan | Zip Code | 48326-2757 |
| Country | United States | Telephone | (248) 944-6519 | Fax | (248) 944-6537 |



PATENT TRADEMARK OFFICE

| | | | |
|----------------------|----------------|------------------|-----------|
| Name (Print or Type) | Ralph E. Smith | Registration No. | 35,474 |
| Signature | | Date | 9/27/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

For FY 2001

Patent fees are subject to annual revision.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$ 710)

Complete if Known

Application Number

Filing Date

First Named Inventor Michael R Lindsay

Examiner Name

Group / Art Unit

Attorney Docket No. 98-859US1

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

03-1800

Deposit Account Name

DaimlerChrysler Intellectual Capital Corporation

Charge Any Additional Fee Required Under
37 CFR 1.16 and 1.17

 Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$ Code (\$

105 130 205 65 Surcharge-late filing fee or oath
127 50 227 25 Surcharge-late provisional filing fee or
cover sheet.

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for examination

112 920* 112 920* Requesting publication of SIR prior
to Examiner action113 1,840* 113 1,840* Requesting publication of SIR after
Examiner action

115 110 215 55 Extension for reply within first month

116 390 216 195 Extension for reply within second month

117 890 217 445 Extension for reply within third month

118 1,390 218 695 Extension for reply within fourth month

128 1,890 228 945 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

120 310 220 155 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use
proceeding

140 110 240 55 Petition to revive – unavoidable

141 1,240 241 620 Petition to revive – unintentional

142 1,240 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional
applications

126 180 126 180 Submission of Information Discl. Stmt.

581 40 581 40 Recording of each patent assignment
per property (times number of properties)146 710 246 355 Filing a submission after final
rejection (37 CFR 1.129(a))149 710 249 355 For each additional invention to be
examined (34 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

FEE CALCULATION

BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------------|-----------------------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | 710 |
| 106 320 | 206 160 | Design filing fee | |
| 107 490 | 207 245 | Plant filing fee | |
| 108 710 | 208 355 | Reissue filing fee | |
| 114 150 | 214 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | 710 |

2. EXTRA CLAIM FEES

| Total Claims | 18 | -20** = | Extra Claim | Fee from below | Fee Paid |
|--------------------|----|---------|-------------|-------------------|----------|
| | | | | | |
| Independent Claims | 3 | - 3** = | 0 | 0 | 0 |
| Multiple Dependent | | | 270 | | 0 |

**or number previously paid, if greater; For

Reissues, see below

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------------|-----------------------------|---|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$ 0) | | |

SUBMITTED BY

Typed or Printed
Name

Ralph E. Smith

Signature



Complete (if applicable)

Reg. Number 35,474

Deposit Account
User ID

Date 9/27/2001